



JCO/ Rec'd PCT/PTO 08 MAR 2002

PL-9830

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: A. Hine, et al. Group Art Unit: To be assigned
Serial Number: 09/787,228 Examiner: To be assigned
Filed: March 14, 2001
For: Gene and Protein Libraries and Methods Relating Thereto

Response to Notification of Defective Response

Assistant Commissioner for Patents
Box: Sequence
Washington, D.C. 20231


Sir:

In connection with the captioned application, and in response to the Notification of Defective Response having a mailing date of January 31, 2002, Applicants submit the following items:

- 1) Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825;
- 2) A substitute computer readable form (CFR) of the "Sequence Listing";
- 3) A substitute paper copy of the "Sequence Listing";
- 4) A Second Preliminary Amendment directing the entry of the "Sequence Listing" into the specification;
- 5) Required statements:
 - A. Applicants aver that the sequence listings do not include any new matter which goes beyond the content of the application as filed.
 - B. Applicants aver that that sequence listings contained on the diskette are identical to those contained on the paper copy.

A copy of the Notification of Defective Response is enclosed herewith as required. Please direct any remaining issues to Applicant's counsel at the telephone number provided below.

Respectfully submitted,



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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on 28 Feb 02.



Signature Melissa Leck
Date February 28, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov



U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/787,228	Anna Hine	PL-9830
INTERNATIONAL APPLICATION NO.		
PCT/GB99/03081		
I.A. FILING DATE	PRIORITY DATE	
09/14/1999	09/14/1998	

Royal N Ronning JR
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 800 Centennial Avenue
 Piscataway, NJ 08855

COPY

CONFIRMATION NO. 7993

371 FORMALITIES LETTER



OC000000007388547

Date Mailed: 01/31/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

Action: Seq. Listing
 Due Date: 28 Feb 02
 On Database: yes
 Patent Officer: RR Martin
 On Excel List: yes
 On Desk Calendar: yes
 Copy Sent To: Martin 2/8/02

RECEIVED
 FEB - 8 2002
 ROYAL N. RONNING, JR.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
 - See attached Raw Sequence Listing error report.
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is required to complete the response within a time limit of **ONE MONTH** from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended up to a maximum of six months.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/787,228	PCT/GB99/03081	PL-9830